## DT03 Rec'd PCT/PT0 16 DEC 2004

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 020324 225P2

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/US2003/019740 10 June 2003 19 June 2002 TITLE OF INVENTION SPUTTER TARGET MONITORING SYSTEM APPLICANT(S) FOR DO/EO/US John E. Poole and Charles E. Wickersham, Jr. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. XX This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31).  $\mathbf{x}\mathbf{x}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. XX is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. **XX** Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. XX A preliminary amendment. 14. XX An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. 17. ſ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. XX Other items or information: Certificate of Mailing by Express Mail

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

## DT01 Rec'd PCT/PTO 11.6 DEC 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known 1 e 8 37 2 F 9 3			INTERNATIONAL API	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER		
		<b>とフフ</b>	PCT/US2003/01	1974	0	L	020324 225P2	2	
	ing fees are submitted:					Ļ			
XX a) Basic na	ational fee				\$300.00	\$	300.00		
XX b) Examination fee\$200.00						\$	200.00		
XX c) Search fee						\$	500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets	I	of each additional 50 or fractio (round <b>up</b> to a whole number)						
19 - 100 =	/50 =		0	× \$250.00		\$	0.00		
Surcharge of \$13 claimed priority of	<b>30.00</b> for furnishing the oadate (37 CFR 1.492(e)).	ath or declara	ration later than 30 months fro	m the	earliest	\$	0.00		
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA		RATE	\$			
Total claims	15	- 20 =	0	х	\$50.00	\$	0.00		
Independent clai	ims 2	- 3 =	0	x	\$200.00	\$	0.00		
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)	0	+	\$360.00	\$	0.00		
			TOTAL OF ABOVE (			\$	1,000.00		
Applicant c by ½.	laims small entity status.	See 37 CFR	R 1.27. The fees indicated abo	ove are	ereduced	\$	\$ 0.00		
				s	SUBTOTAL =	\$	1,000.00		
_	of <b>\$130.00</b> for furnishing the date (37 CFR 1.492(f)).	ne English tra	ranslation later than 30 month	is from	the earliest +	\$	\$ 0.00		
			TOTAL	NATIC	ONAL FEE =	\$	\$ 1,000.00		
	g the enclosed assignmen e cover sheet (37 CFR 3.2		.21(h)). The assignment must	t be ac	companied +	\$	0.00		
			TOTAL FE	ES E1	NCLOSED =	\$	1,000.00		
							nount to be funded:	\$	
l							nount to be arged:	\$	
a. XX A chec	ck in the amount of \$ $1$ ,	000.00	to cover the above	e fees	is enclosed.				
	charge my Deposit Acco		in the amount of \$		to co	ver t	the above fees.		
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 502431. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
		, de la					_		
Bruce E. Peacock, Esq.						B	mce EDe	auch	
WEGMAN, HESSLER & VANDERBURG				- !	SIGNATURE				
					Ε.	Peacock, Esq	l•		
oreverand	, 011 44151		•	ŗ	NAME				
					28 REGISTRATIO	, 45 ON N			
				•		•			

10 /5 18 2 9 3

The PTO did not receive the following listed item(s) NO POST CORO

10,518293

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CRF 1.10)  Docket No.  Applicant(s): John E. Poole and Charles E. Wickersham, Jr.  Docket No.  020324 225P2									
Serial No.	Filing Date	Examiner	Group Art Unit						
Not Yet Assigned	December 16, 2004								
Invention: SPUTTER TAI	RGET MONITORING	SYSTEM							
I hereby certify that this Patent Application Under 35 USC 371  (Identify type of correspondence)  is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service									
Under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA									
22313-1450 on <u>December</u> (Date)	er 16, 2004	·	·						
Barbara Ann Sachs (Typed ox Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)  ER37629695US  ("Express Mail" Mailing Label Number)									
Note: Each paper must have its own certificate of mailing.									